

UTILITY  
PATENT APPLICATION  
TRANSMITTAL

For new nonprovisional applications under 37 C.F.R. § 1.53(b)

Attorney Docket No.	Berkey 48-8-2A	Total Pages	2
First Named Inventor or Application Identifier	Berkey et al.		
Title	Projection Lithography Photomask Blanks, Preforms and Method of Making		

Express Mail Label No.

EL689104218US

**CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR 1.10:**  
I certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is Addressed to the Commissioner of Patents and Trademarks, Washington, DC 20231

on June 6, 2001

(Date)

Signature Colleen E. Doherty  
Colleen E. Doherty

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

"EXPRESS MAIL" Mailing Label No. EL689104218US

1.  \*Fee Transmittal Form  
(Submit an original and a duplicate for fee processing)

[Total Pages

31

2.  Specification  
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3.  Drawing(s) (35 U.S.C. § 113)

[Total Sheets

12

4. Oath or Declaration

[Total Pages

2

a.  Newly executed (original or copy)

b.  Copy from a prior application (37 C.F.R. § 1.63(d))  
(for continuation/divisional with Box 16 completed)

c.  **DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

5.  Microfiche Computer Program (Appendix)

6. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a.  Computer Readable Copy

b.  Paper Copy (identical to computer copy)

c.  Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

- 7.  Assignment Papers (cover sheet & document(s))
- 8.  37 C.F.R. § 3.73(b) Statement  
(when there is an assignee)  Power of Attorney
- 9.  English Translation Document (if applicable)
- 10.  Information Disclosure  
Statement (IDS)/PTO-1449  Copies of IDS  
Citations
- 11.  Preliminary Amendment
- 12.  Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)
- 14.  Certified Copy of  
Priority Document(s) 15.  Other:  
(if foreign priority is  
claimed)

16. If a **CONTINUING APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation  Divisional  Continuation-in-part (CIP)

of prior application No.: 09/397,777, filed 9/16/99

Prior application information: Examiner: S. Rosasco Group / Art Unit: 1756  
For **CONTINUATION or DIVISIONAL APPS only**: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**17. CORRESPONDENCE ADDRESS**

or  Correspondence address below

22928

Customer Number or Bar Code Label

NAME	Edward F. Murphy		
ADDRESS	Corning Incorporated, SP-TI-3-1		
CITY	Corning	STATE	NY
COUNTRY	USA	TELEPHONE	3716
Name (Print/Type)	Edward F. Murphy		
Signature	<i>Edward F. Murphy</i>		
	Registration No. (Attorney/Agent)	ZIP CODE	14831
		FAX	(607) 974-3848
	Date	6/6/01	

**BEST AVAILABLE COPY**

**FEE TRANSMITTAL**  
for FY 2000

Complete if Known	
Application Number	09/397,577
Filing Date	September 16, 1999
First Named Inventor	Berkey, George
Examiner Name	S. Rosasco
Group / Art Unit	1756

**TOTAL AMOUNT OF PAYMENT (\$2,048.00)**

Attorney Docket Number **Berkey 48-8-2**

**METHOD OF PAYMENT (check one)**

The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-3325**

Deposit Account Name **Corning Incorporated**

Charge Any Additional Fees Required Under 37 C.F.R. §§ 1.16 and 1.17

Payment Enclosed:

Check  Money Order  Other

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity	Fee Code (\$)	Fee Description	Fee Paid
	101	Utility filing fee	710.00
	105	Design filing fee	
	107	Plant filing fee	
	108	Reissue filing fee	
	114	Provisional filing fee	
<b>SUBTOTAL (1)</b>			<b>(\$710.00)</b>

**2. EXTRA CLAIM FEES**

Extra Fee from Claims below Fee Paid  
Total Claims  $81 - 20^* = 61 \times 18 =$  1,098.00

Independent Claims  $6 - 3^* = 3 \times 80 =$  240.00

Multiple Dependent = 0.00

*\*\*or number previously paid, if greater; For Reissues, see below*

Large Entity	Fee Code (\$)	Fee Description
	103	Claims in excess of 20
	102	Independent claims in excess of 3
	104	Multiple dependent claim, if not paid
	109	** Reissue independent claims over original patent
	110	** Reissue claims in excess of 20 and over original patent
<b>SUBTOTAL (2)</b>		<b>(\$1,338.00)</b>

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

Large Entity	Fee Code (\$)	Fee Description	Fee Paid
	105	Surcharge - late filing fee or oath	
	127	Surcharge - late provisional filing fee or cover sheet	
	139	Non-English specification	
	147	For filing a request for reexamination	
	112	Requesting publication of SIR prior to Examiner action	
	113	Requesting publication of SIR after Examiner action	
	115	Extension for reply within first month	
	116	Extension for reply within second month	
	117	Extension for reply within third month	
	118	Extension for reply within fourth month	
	128	Extension for reply within fifth month	
	119	Notice of Appeal	
	120	Filing a brief in support of an appeal	
	121	Request for oral hearing	
	138	Petition to institute a public use proceeding	
	140	Petition to revive - unavoidable	
	141	Petition to revive - unintentional	
	142	Utility issue fee (or reissue)	
	143	Design issue fee	
	144	Plant issue fee	
	122	Petitions to the Commissioner	
	123	Petitions related to provisional applications	
	126	Submission of Information Disclosure Stmt	
	581	Recording each patent assignment per property (times number of properties) _____ x	
	146	Filing a submission after final rejection (37 C.F.R. § 1.129(a))	
	149	For each additional invention to be examined (37 C.F.R. § 1.129(b))	
	Other fee (specify) _____		
	Other fee (specify) _____		

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3) (\$)**

**SUBMITTED BY**

**Completed (if applicable)**

Name (Print/Type)	Edward F. Murphy	Registration No. (Attorney/Agent)	38,251
Signature	<i>Edward F. Murphy</i>	Date	6/6/01